

SECTION 16 FORMS MANAGEMENT AND FILING SOLUTION

FACT SHEET

The one true and complete Section 16 management and filing platform that delivers the most value.

Section 16 forms management and filing has never been easier. It empowers you to take control of all of your SEC Section 16 requirements.

With Section 16 Manager you will efficiently access and manage your reportable activities and quickly file your Forms 3, 4 and 5 directly with the SEC.

Contact Computershare Governance Services today to learn more about how Computershare's Section 16 Manager™ solution can make your insider management and forms filing automation efficient and easy.

Computershare offers companies a comprehensive tool for the easy generation, management and electronic transmission of Forms 3, 4 and 5 to the SEC.

Our Web-based Computershare Section 16 Manager™ solution supports multiple users, can be accessed from anywhere and your users can share data rapidly and seamlessly. This eliminates the costs of investing in additional resources to manage the filing and tracking process.

SECTION 16 MANAGER HELPS AID COMPLIANCE, REDUCE RISK, LOWER COSTS & SAVE TIME

The tool tracks all insiders, holdings, transactions, footnotes and balances and is ideal if you have more than 10 insiders or file approximately more than 20 times per year.

The embedded rules engine, which is based on industry best practices, makes Computershare Section 16 Manager the most comprehensive and robust solution for public companies today.

Automatic Section 16 forms generation and one-click filing capabilities

- › Easy-to-use filing wizards streamline form generation and filing
- › Transaction-based system eliminates the need for form templates
- › One-click form filing and status retrieval from EDGAR® ensures convenience and hassle-free filing

Proactive Section 16 management

- › Manage all current and inactive insiders
- › Track insider holdings, ownership changes, filing histories
- › Track unreportable changes such as dividend reinvestments
- › Generate reports and export to Microsoft Excel®
- › Prevent missed filing deadlines through time-sensitive work items capability

Compliance assistance and risk reduction

- › Business logic based on industry best practices enables compliance facilitation to current standards
- › Automatic form validation decreases filing suspensions
- › Complete audit trail enables step-by-step oversight

Direct import of Section 16 data from EDGAR

- › Translates into zero-switching costs
- › Populates with real data extracted from disseminated filings

Secure Web-based system

- › Offsite backup and recovery

FACT SHEET

With Computershare's Section 16 Manager solution, you can rely on an easy and efficient risk management tool.

Computershare Section 16 Manager

Logged in as: Daniel Wheeler | Logout

Quick Nav (ctrl+g) - Jump To - Go

User Information

Name: Daniel Wheeler
Email: Daniel.Wheeler@computershare.com
Role: Filer
Edit User Information

Section 16 Filing Statistics

	Feb	1 st Qtr	2014
Form 3	0	0	0
Form 3A	0	0	0
Form 4	0	0	0
Form 4A	0	0	0
Form 5	0	0	0
Form 5A	0	0	0

Work Items

Task	Reporting Owner	Created
Filing is Overdue: Form 4	Jones, Kathy (1127599)	02/06/2014
Filing is Overdue: Form 4	Kohn, Maryann (1127599)	02/06/2014
Filing is Overdue: Form 4	Ratner, Hank J. (1127599)	12/17/2013
Need to file Form 3	Jones, John (1127599)	05/20/2013

Section 16 Manager solution supports multiple users and tracks all insiders, holdings, transactions, footnotes & balances.

Home > Blane, Andrew, 10% Owner [E:O]

Ledger Transactions Filings Footnotes Events

Last Year 01/01/2013 to 12/31/2013

	Common Stock Ownership: Direct	Common Stock Ownership: Indirect	Common Stock Ownership: Indirect Description: in Trust for Son	Common Stock Ownership: Indirect Description: Spouse	abc: Stock Option (Right to Buy) Price: 174.2800 Expiration: 2014-05-01
12/31/2013	100	776	0	1,000	55,000
12/31/2013	100	826	0	1,000	55,000

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(b) of the Public Utility Holding Company Act of 1935 or Section 303(b) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*
Blane, Andrew
411 W. 14th Street
New York, NY 10011
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Pat's Hardware [PAT]

3. Date of Earliest Transaction (Month/Day/Year)
11/21/2013

4. If Assumptions, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all that apply)
 Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint Group Filing (Check Applicable One)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form (Instr. 6)	7. Name of Issuer (Beneficial Ownership Instr. 7)
Common Stock	11/21/2013		P	500	\$13.52	Common Stock	Direct
Common Stock	11/27/2013		S	450	\$8	Common Stock	Direct
Common Stock	11/29/2013		P	650	\$7	Common Stock	Direct
Common Stock	12/11/2013		P	450	\$5	Common Stock	Direct
Common Stock	01/08/2014		P	650	\$4	Common Stock	Direct

Form 4 Filing Wizard: Blane, Andrew (1127599) [edit]

Preview Form Preview PDF Preview XML Edit Footnotes Save Draft Help

Select Transactions

Split	Date	Typ	Sha	Price	Holding	Natu	Balance	Exer Prc	Exer Dt	Exp Dt
[remo...]	11/21/2013	P	500	\$13.52	Common Stock	Direct	24976			
[remo...]	11/27/2013	S	450	\$8	Common Stock	Direct	24526			
[remo...]	11/29/2013	P	650	\$7	Common Stock	Direct	1679			
[remo...]	12/11/2013	P	450	\$5	Common Stock	Direct	24976			
[remo...]	01/08/2014	P	650	\$4	Common Stock	Direct	25626			

Select transactions which you would like to include in this filing. Transactions listed below include those which have yet to be filed. Check all that apply.
[select all] [deselect all]

Table I: 8/30 rows Table II: 0/30 rows [add new transaction]

Dr	Date	Ty	Sha	Price	Holding	Natur	Balance	Exer Prc	Exer Dt	Exp Dt
<input checked="" type="checkbox"/>	N [del] [edit]		11/21/2013	P	500	\$13.52	Common Stock	Direct	24,976	
<input checked="" type="checkbox"/>	N [del] [edit]		11/27/2013	S	450	\$8	Common Stock	Direct	24,526	
<input checked="" type="checkbox"/>	N [del] [edit]		11/29/2013	P	650	\$7	Common Stock	Direct	1,679	
<input checked="" type="checkbox"/>	N [del] [edit]		12/11/2013	P	450	\$5	Common Stock	Direct	24,976	
<input checked="" type="checkbox"/>	N [del] [edit]		01/08/2014	P	650	\$4	Common Stock	Direct	25,626	

Cancel < Prev Next >

Easy-to-use filing wizards streamline the generation, filing and transmission of Forms 3, 4 & 5 to the SEC.

ABOUT COMPUTERSHARE GOVERNANCE SERVICES

Computershare Governance Services (CGS) is the leading global supplier of web based entity management, board portal and subsidiary governance solutions. Clients use our software to assist them with the administration, governance and compliance of over 250,000 legal entities across more than 160 jurisdictions. Leading companies from all market segments look to the CGS team of governance specialists for proactive ways to stay compliant and minimize risk in an ever increasing regulatory environment.

CGS is part of Computershare (ASX: CPU), a global market leader in transfer agency and share registration, employee equity plans, proxy solicitation and stakeholder communications. We also specialize in corporate trust, mortgage, bankruptcy, class action, utility and tax voucher administration, and a range of other diversified financial and governance services.

Founded in 1978, Computershare is renowned for its expertise in high integrity data management, high volume transaction processing and reconciliations, payments and stakeholder engagement. Many of the world's leading organizations use us to streamline and maximize the value of relationships with their investors, employees, creditors and customers. Computershare is represented in all major financial markets and has over 14,000 employees worldwide.

For more information, visit www.cgs.computershare.com

EDGAR is a registered trademark of the SEC. Microsoft Excel is a registered trademark of Microsoft Corporation. Computershare Section 16 Manager is a trademark of Computershare.

www.cgs.computershare.com

governanceservices@computershare.com

866 443 8426

CERTAINTY | INGENUITY | ADVANTAGE

Computershare
Governance Services